

**BDUG**  
**2011 Preliminary Annual Meeting Agenda**  
**Sand Pearl Hotel; Clearwater FL**  
**September 20 – 23, 2011**

**Tuesday, September 20**

<b>6:30 p.m. – 8:00 p.m.</b>	<b>2011 Annual Meeting Registration &amp; Opening Reception</b> <i>Sponsor: BDUG</i>  <b>DEADLINE FOR REGISTRATION IS 8/XX/2011 (see <a href="http://www.BDUG.org">www.BDUG.org</a> for registration form)</b>	Pool Lawn

**Wednesday, September 21**

<b>7:30 a.m. - 8:30 a.m.</b>	Breakfast with Exhibitors – <i>Sponsor:</i>	Cove
<b>8:30 a.m. – 9:00 a.m.</b>	General Session: BDUG Steering Committee updates & DTCC Introductions	Hunter Ballroom
<b>9:00 a.m. - 9:45 a.m.</b>	General Session: <b>DTCC Keynote Address</b>  <b>Eric Miller, DTCC, Managing Director, SBC Operations</b>	Hunter Ballroom
<b>9:45 a.m. – 10:00 a.m.</b>	General Session: Exhibitor Presentation –	Hunter Ballroom
<b>10:00 a.m. - 10:30 a.m.</b>	Visit / Refreshments with Exhibitors – <i>Sponsor:</i>	Hunter Foyer
<b>10:30 a.m. - 11:20 a.m.</b>	General Session: <b>Cost Basis Reporting Regulation</b>  New IRS cost basis reporting regulations go into effect in January 2011. An industry panel will discuss how they have prepared for this challenge.  <b>Lydia Midwood, DTCC, Product Manager, Equity Clearance Services</b> <b>Anita Higgins, Trustmark Bank, Vice President, Securities Operations</b>	Hunter Ballroom

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<b>11:20 a.m. - 12:10 p.m.</b>	General Session: <b>ICI Update</b> – A view from the Investment Company Institute on the operations, technology and compliance challenges of 2011.  <b>Martin A. Burns, ICI, Director - Institutional Operations &amp; Service</b>	Hunter Ballroom
<b>12:10 p.m. – 12:25 p.m.</b>	General Session: Exhibitor Presentation –	Hunter Ballroom
<b>12:30 p.m. – 1:45 p.m.</b>	Lunch - <i>Sponsor:</i>	Cove
<b>1:45 p.m. – 2:35 p.m.</b>	General Session: <b>OCC Update</b> <b>Patricia Dalton, OCC</b>	Hunter Ballroom  Harbor C
<b>2:35 p.m. - 3:05 p.m.</b>	Visit / Refreshments with Exhibitors	Hunter Foyer
<b>3:05 p.m. – 4:00 p.m.</b>	Break Outs <ul style="list-style-type: none"> <li>▪ Break Out 1 – OCC</li> <li>▪ Break Out 2 – ICI</li> </ul>	Hunter Ballroom
<b>4:00 p.m. – 4:15 p.m.</b>	General Session: Exhibitor Presentation –	Hunter Ballroom
<b>6:00 p.m. – 9:00 p.m.</b>	<b>BDUG Dinner / Entertainment</b>	Gulf Lawn
<b>Thursday, September 22</b>		
<b>7:45 a.m. - 8:30 a.m.</b>	Breakfast with Exhibitors	Cove
<b>8:30 a.m. - 9:30 a.m.</b>	<b>BDUG Membership Town Hall</b> Anita Higgins, Trustmark Bank, Vice President, Securities Operations, BDUG Vice Chairman <i>(Members Only)</i>	Hunter Ballroom

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<b>9:30 a.m. - 9:45 a.m.</b>	General Session: Exhibitor Presentation:	Hunter Ballroom
<b>9:45 a.m. - 10:15 a.m.</b>	Visit / Refreshments w/ Exhibitors	Hunter Foyer
<b>10:15 a.m. - 11:15 a.m.</b>	General Session: <b>Omgeo</b> Update	Hunter Ballroom
<b>11:15 a.m. - 12:15 p.m.</b>	General Session: <b>DTCC Learning</b>	Hunter Ballroom
<b>12:15 p.m. - 1:15 p.m.</b>	Lunch	The Cove
<b>1:15 p.m. – 2:15 p.m.</b>	General Session: <b>DTC Corporate Actions Reengineering Update</b> A progress report on the corporate actions reengineering project timeline milestones and road map.  <b>Robert Epstein, DTCC, Vice President, Asset Services Product Management</b>	Hunter Ballroom
<b>2:15 p.m. - 3:15 p.m.</b>	Break Outs <ul style="list-style-type: none"> <li>• Break Out 1 – Corporate Action Reengineering</li> <li>• Break Out 2 – Omgeo</li> </ul>	Hunter Ballroom  Harbor C
<b>5:00 p.m. - 6:00 p.m.</b>	Exhibitor Reception & Raffle	Hunter Foyer
<b>Friday, September 23</b>		

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<b>8:00 a.m. - 9:00 a.m.</b>	Breakfast	Cove
<b>9:00 a.m. – 9:45 a.m.</b>	General Session: Closing Keynote Address  <b>Paula Arthus, Managing Director, DTCC Relationship Management</b>	Hunter Ballroom
<b>9:45 a.m. - 10:00 a.m.</b>	Coffee Break	Cove
<b>10:00 a.m. - 11:00 a.m.</b>	General Session: <b>Panel Discussion – DTCC Risk Management Review</b>	Hunter Ballroom
<b>11:00 a.m. – 11:30 a.m.</b>	<b>Conference Recap &amp; Adjournment</b>	Hunter Ballroom